

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
1101.011

In Re Application Of:

He, et al.

1c978 U.S. Pat.
09/885742
06/20/01

Serial No.

Filing Date
June 20, 2001

Examiner

Group Art Unit

Title:

BACKLIGHT UNITS FOR LIQUID CRYSTAL DISPLAYS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
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Dated:

6/20/01

Richard L. Sampson
Attorney for Applicants
Reg. No. 37,231

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Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)

Application Number

Applicant(s)
He, et al.

Filing Date
June 20, 2001

Group Art Unit

JES78 U.S. PTO
 00/885742
 06/20/01

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,965,907	10/12/99	Huang, et al.			
		5,926,239	7/20/99	Kumar, et al.			
		5,096,520	3/17/92	Faris			
		5,394,253	2/28/95	Kelly			
		5,831,375	11/3/98	Benson			
		6,101,052	8/8/00	Wortman et al.			
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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.